

On (non-)polynomial $f(R)$ gravity in the FRG

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Nederlandse Organisatie
voor Wetenschappelijk Onderzoek

Asymptotic Safety Scenario for Gravity

- ▶ Einstein-Hilbert gravity perturbatively non-renormalisable
- ▶ With term $\propto \text{Curvature}^2$ pert. renormalisable but not unitary
- ▶ Non-pert. renormalisable if an ultraviolet (UV) fixed point (FP) exists and number of relevant couplings is finite:

Asymptotic Safety Scenario

[Weinberg 1976, ..., Reuter 1996, ...]

For details and more references see, e.g., R. Percacci, *An introduction to covariant quantum gravity and asymptotic safety*, World Scientific 2017

- ▶ Convincing evidence for gravity ...
- ▶ ... but what if matter (Standard Model + ?Dark Matter?) is added?

Matter matters [Eichhorn, Percacci, ...]
or gravity rules [Pawlowski, ...] ???

Introduction to $f(R)$ gravity for particle physicists

- ▶ Einstein Gravity: metric field $g_{\mu\nu}(x)$
curvature (Riemann) tensor $R_{\mu\nu\rho\sigma} \propto [D_\mu, D_\nu]$
(compare to Yang-Mills field strength tensor $F_{\mu\nu}^{ab} \propto [D_\mu, D_\nu]$)
Ricci tensor $R_{\mu\nu} = R^\rho{}_{\mu\rho\nu}$
(Note: Different than in Yang-Mills theory one can contract spacetime and “representation” index!)
curvature scalar $R = R^\mu{}_\mu$ (diffeomorphism invariant)
(Lowest-order gauge invariant quantity $\text{Tr} F_{\mu\nu} F^{\mu\nu} = F_{\mu\nu}^{ab} F^{\mu\nu ba}$)
- ▶ Einstein-Hilbert action (Euclidean signature):

$$S_{EH} = \int d^4x \sqrt{\det g_{\mu\nu}} \left(\frac{\Lambda}{8\pi G} - \frac{R}{16\pi G} \right) + S_{\text{matter}}$$

Asymptotically safe without matter in this truncation!

- ▶ ... but if more terms generated by the RG are kept?
... if matter is added?

Introduction to $f(R)$ gravity for particle physicists

- ▶ As the Ricci scalar R is diffeomorphism invariant every function of it will be!



$$S = \int d^d x \sqrt{g} f(R)$$

is a diffeomorphism invariant action,

called $f(R)$ gravity action.

- ▶ Einstein-Hilbert action: Polynomial $f(R)$ gravity of order one.
- ▶ Phenomenological constraints for higher-order terms are weak.
NB: The “modified-gravity” approach wants to alleviate the need for Dark Matter and Dark Energy by extending Einstein-Hilbert gravity, see e.g. www.cost.eu/COST_Actions/ca/CA15117.
- ▶ Polynomial $f(R)$ gravity vs. non-polynomial versions, e.g., the action may contain terms with logarithms.

Asymptotic safety for $f(R)$ gravity?

See, e.g.,

K. Falls, D. F. Litim, K. Nikolakopoulos, C. Rahmede, Phys. Rev. D **93** (2016) 104022 [arXiv:1410.4815 [hep-th]]; arXiv:1607.04962 [gr-qc];

S. Gonzalez-Martin, T. R. Morris, Z. H. Slade, Phys. Rev. D **95** (2017) 106010 [arXiv:1704.08873 [hep-th]];

and references therein.

- ▶ Instead of an UV FP: Fixed function in the UV!
- ▶ In addition: Only finitely many relevant perturbations, *i.e.*, finitely many negative eigenvalues
(= positive critical exponents)
of the stability matrix of the linearised flow equation required.

Motivation

$f(R)$ gravity on spheres as background

- ▶ On maximally symmetric spaces
(Spheres for $R > 0$ and hyperbolic spaces for $R < 0$,
resp., deSitter and anti-deSitter for Lorentzian signature)
 $f(R)$ gravity includes all possible terms
as, e.g., then $R_{\mu\nu}R^{\mu\nu} \propto R^2$.
- ▶ Eigenvalues of Laplacian and Dirac operator are known
for spheres S^d and hyperbolic spaces H^d .
Note, however, different topology of S^d and H^d .
- ▶ The traces on the r.h.s. of the Wetterich equation can be
performed by explicitly summing over eigenvalues.

In this talk:

Couple matter (scalar, fermion and vector fields) to $f(R)$ gravity,
and determine UV Fixed Functions by employing the Wetterich eq.
with spheres as background.

RG equation for $f(R)$ gravity

N. Ohta, R. Percacci, G. P. Vacca, Eur. Phys. J. C **76** (2016) 46
[arXiv:1511.09393 [hep-th]].

Hessian for the exponential parameterisation

- ▶ Effective action $\Gamma_k = \int d^d x \sqrt{\bar{g}} f(R)$ with coupling constants $g_0(k), g_1(k), g_2(k) \dots$, one for every power of R
- ▶ Exponential split $g_{\mu\nu} = \bar{g}_{\mu\rho} (e^h)^{\rho\nu}$
- ▶ York decomposition (good spin!)
- ▶ Gauge fixing $S_{GF} = \frac{1}{2\alpha} \int d^d x \sqrt{\bar{g}} \bar{g}^{\mu\nu} F_\mu F_\nu$ with $F_\mu = D_\rho h^\rho{}_\mu - \frac{\beta+1}{d} D_\mu h$ and $\beta \rightarrow \infty$, then $\alpha \rightarrow 0$.
- ▶ $\Gamma_{grav}^{(2)} = -\frac{1}{4} f'(\bar{R}) h_{\mu\nu}^{TT} \left(\Delta + \frac{2}{d(d-1)} \bar{R} \right) h^{TT\mu\nu} + \dots$
Laplacian $\Delta = -\bar{D}^\mu \bar{D}_\mu$ depends on background curvature \bar{R} and on spin.

RG equation for $f(R)$ gravity

Eigenvalues and Regulators

- ▶ The eigenvalues of the Laplacian on the sphere are

$$\lambda_\ell^{s=m} = \bar{R} \frac{(\ell(\ell+d-1)-m)}{d(d-1)}, \ell = m, m+1, \dots \text{ with } m = 0, 1, 2 \text{ for scalar, transverse vector and transverse-traceless tensor fields.}$$

- ▶ Regulators chosen to depend on endomorphisms linear in \bar{R} :

$$R_k^{S,V,T}(\Delta - \alpha_{S,V,T}^G \bar{R})$$

- ▶ $\text{Tr}_{(s)} \mathcal{O} = \sum_{\ell=\ell_{min}}^{\infty} M_d^S(\ell) \lambda_\ell^{\mathcal{O},s}$ with $\ell_{min} = 2$ in gravity sector

$$\begin{aligned} \partial_t \Gamma_k|_{grav} = & \frac{1}{2} \text{Tr}_{(2)} \left(\frac{(\partial_t f'(\bar{R})) R_k^T + f'(\bar{R}) \partial_t R_k^T}{f'(\bar{R})(P_k^T + \alpha_T \bar{R} + \frac{2}{d(d-1)} \bar{R})} \right) \\ & - \frac{1}{2} \text{Tr}'_{(1)} \left(\frac{\partial_t R_k^V}{P_k^V + \alpha_V^G \bar{R} - \frac{1}{d} \bar{R}} \right) \\ & + \frac{1}{2} \text{Tr}''_{(0)} \left(\frac{(\partial_t f''(\bar{R})) R_k^S + f''(\bar{R}) \partial_t R_k^S}{f''(\bar{R})(P_k^S + \alpha_S^G \bar{R} - \frac{1}{d-1} \bar{R}) + \frac{d-2}{2(d-1)} f'(\bar{R})} \right) \end{aligned}$$

Matter Part of the RG equation

NA & F. Saueressig, to be published

Adding matter

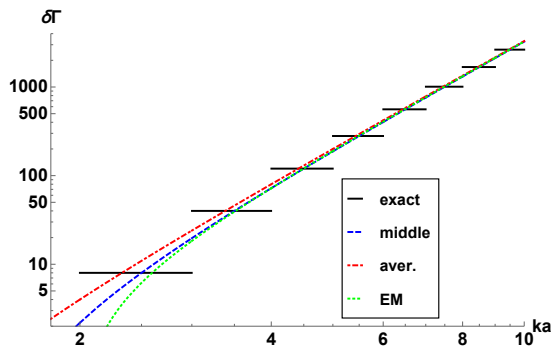
- ▶ N_S scalar fields, regulator parameter α_S^M
- ▶ N_D Dirac fields, regulator parameter α_D
- ▶ N_V vector fields, regulator parameters α_V^M and α_S^M
for transverse vectors and long. vectors & ghosts, resp.
- ▶ Standard Model: $N_S = 4$ (Higgs),
 $N_D = 22.5$ (24 with r.h. ν),
 $N_V = 12$

Matter Part of the RG equation

Performing the traces

- ▶ Seven different traces, here fermions as example.
- ▶ Flat Litim-type regulator $R_k^D(z) = (k^2 - z)\Theta(k^2 - z)$
- ▶ Direct summation provides a staircase function smoothed with three different approximations

Matter Part of the RG equation

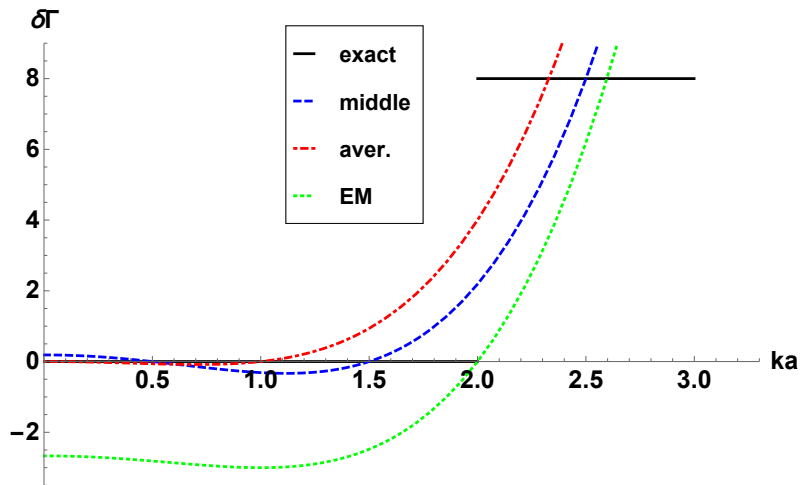


Smoothing

1. middle of the staircase
2. average upper & lower
3. Euler-Maclaurin & integral only

k : RG scale $a = \sqrt{\frac{d(d-1)}{R}}$ radius of sphere

Matter Part of the RG equation



Very different at small RG scales!

NB: Plot is for $\alpha_D = 0$ type-II-regulator

Matter Part of the RG equation

$$\partial_t \Gamma_k|_D = -N_D \frac{V(d)k^d}{\Gamma(d/2+1)(4\pi)^{d/2}} \frac{k^2 + \frac{d}{2}\alpha_D \bar{R}}{k^2 + \alpha_D \bar{R}} 2^{\lfloor d/2 \rfloor} \left(1 - A \frac{\bar{R}}{k^2} + \mathcal{O}(\bar{R}^2) \right),$$

Linear term in \bar{R} in

middle appr.: $A = (d+1)/24$

averag. appr.: $A = (d-2)/24$

EM appr.: $A = d/24$

In $d = 4$: $\partial_t \Gamma_k|_D = -N_D \frac{2V(4)k^4}{(4\pi)^2}$

$$\frac{k^2}{k^2 + \alpha_D \bar{R}} \left(1 + (\alpha_D - 3/16) \frac{\bar{R}}{k^2} \right) \left(1 + (\alpha_D - 1/48) \frac{\bar{R}}{k^2} \right) \quad \text{middle appr.}$$
$$\left(1 + (\alpha_D - 1/12) \frac{\bar{R}}{k^2} \right) \quad \text{averag. appr.}$$
$$\frac{k^2}{k^2 + \alpha_D \bar{R}} \left(1 + (\alpha_D - 1/3) \frac{\bar{R}}{k^2} \right) \left(1 + (\alpha_D + 1/6) \frac{\bar{R}}{k^2} \right) \quad \text{EM appr.}$$



Type-I ($\alpha_D = 1/4$) and Type-II ($\alpha_D = 0$) regulators lead to different behaviour: Fermions destabilise / stabilise the UV FP !?!

Matter Part of the RG equation



Type-I ($\alpha_D = 1/4$) and Type-II ($\alpha_D = 0$) regulators lead to different behaviour: Fermions destabilise / stabilise the UV FP !?!

Positivity of argument or regulator function: $\alpha_D \leq d/4(d-1)$

No additional pole in flow: $\alpha_D \geq 0$

Both type of regulators are allowed! But provide different physics.

Dimensionless variables

- ▶ $\rho = \bar{R}/k^2$ and $\varphi(\rho) = f(\bar{R})/k^d$
- ▶ $\partial_t \Gamma_k = \int d^d x \sqrt{g} \partial_t f(\bar{R}) =$
 $V(d)k^d (\partial_t \varphi(\rho) + d\varphi(\rho) - 2\rho\varphi'(\rho))$
- ▶ Notation: UV Fixed Function $\phi(\rho)$

RG equation in four dimensions

Flow equation in averaging approximation

- ▶ Flow eq. consists of seven terms:
Tensor, vector (gravity) ghost and conformal scalar mode in gravity sector;
Scalar, fermion, scalar (gauge) ghost and vector in matter sector.

- ▶
$$\partial_t \varphi(\rho) + 4\varphi(\rho) - 2\rho\varphi'(\rho) = \frac{T_1(\partial_t \varphi' + 2\varphi' - 2\rho\varphi'')}{\varphi'} + \dots - N_D D$$
with

$$T_1 = \frac{1}{(4\pi)^2} \frac{5}{12} (1 + (\alpha_T - 1/6)\rho)(1 + (\alpha_T - 2/3)\rho)$$

...

$$D = \frac{1}{(4\pi)^2} 2 (1 + (\alpha_D - 1/12)\rho)$$

- ▶ Assuming $\varphi(\rho)$, resp., $\phi(\rho)$ to be a polynomial of degree N :

$$\phi(\rho) = \frac{1}{(4\pi)^2} \sum_{n=0}^N g_n^* \rho^n$$

RG equation in four dimensions

Influence of matter on the cosmological constant

- ▶ $f(R) = \frac{\Lambda_k}{8\pi G_k} - \frac{R}{16\pi G_k} + \mathcal{O}(R^2)$
- ▶ $\Lambda_k = -\frac{g_0}{2g_1} k^2$ and $G_k = -\frac{\pi}{k^2 g_1}$
- ▶ $g_0^* = \frac{11}{24} + \frac{1}{8} \frac{1}{1+g_1^*/6g_2^*} + \frac{1}{8} (N_S - 4N_D + 2N_V)$
resp.
 $g_0^{complete} \approx g_0^{gravity} + \frac{1}{8} (N_S - 4N_D + 2N_V)$ with
 $g_0^{gravity} \approx 1/2$
- ▶ Every bosonic degree of freedom contributes $+1/8$, every fermionic degree of freedom $-1/8$ to the constant g_0 :
Fixed point value changed accordingly.
- ▶ Standard Model matter content is dominated by fermions:
negative value for g_0^* and thus for the fixed point value of the cosmological constant Λ^*

RG equation in four dimensions

Differential equation for Fixed Function

Third order differential equation with

1. Fixed singularity at $\rho = 0$ (always for physical reason)
2. Physically acceptable solution: $G > 0 \Rightarrow g_1 < 0$
 $\Rightarrow \phi(\rho)$ possesses minimum
 \Rightarrow term $\propto 1/\phi'(\rho)$ produces singularity
3. Maximally one more singularity allowed

NB: Several cases studies

especially those where solution for pure gravity is given in
Ohta/Percacci/Vacca.

Here: Type I and Type II regulators

RG equation in four dimensions

NA & F. Saueressig, to be published [arXiv:17mm.nnnnn]

Polynomial approximations for fixed functions in UV

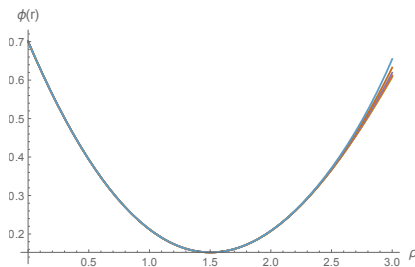
$\rho \rightarrow 0$ corresponds to $k^2 \rightarrow \infty$ for fixed \bar{R} :

Polynomial approximations around $\rho = 0$ up to order 14

Type I regulator: $\alpha_T = \alpha_V^{G,M} = \alpha_S^{G,M} = 0$ and $\alpha_D = 1/4$

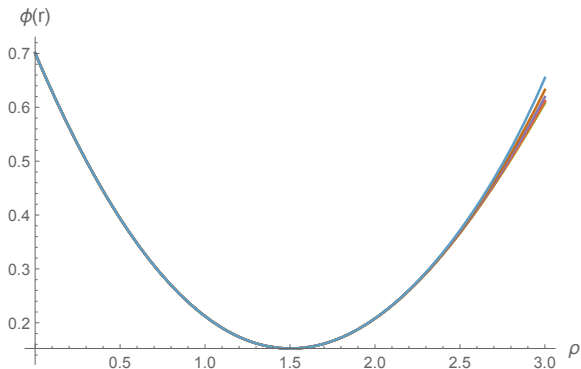
Pure gravity:

$$(4\pi)^2 \phi(\rho) \approx 0.700598 - 0.74115\rho + 0.255198\rho^2 - 0.00180589\rho^3 - \dots$$



RG equation in four dimensions

Pure gravity:



All determined critical exponents real, only two positive:
 $\Theta_0 = 4$ (also analytically), $\Theta_1 \approx 2.11$, $\Theta_2 \approx -4.5$, etc.

RG equation in four dimensions

Matter contribution to ODE for $\phi(\rho)$:

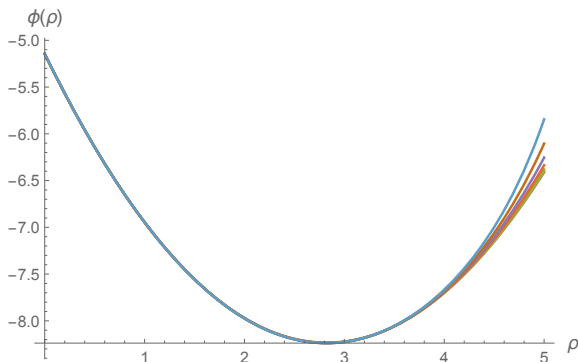
$$\begin{aligned} & \frac{1}{2}(N_S - 4N_D + 2N_V) \\ & + \frac{1}{12}(N_S - 4N_D + \frac{3}{2}N_V)\rho \\ & + \frac{1}{36}(-N_S + 11N_V)\rho^2 + \mathcal{O}(\rho^3). \end{aligned}$$

(N_S, N_D, N_V)	(1,0,0)	(4,0,0)	(0,0,1)	(0,0,2)
g_0^*	0.818143	1.17375	1.07152	1.48687
g_1^*	-0.691112	-0.53307	-0.683569	-0.51518
Θ_1	2.1	1.9	3.3	3.3
(N_S, N_D, N_V)	(0,3,0)	(0,6,0)	Bosons increase, fermions dec. g_1^* , $g_1^* \propto -1/G^* \Rightarrow$ Bosons increase, fermions dec. G^*	
g_0^*	-0.799725	-2.30002		
g_1^*	-1.24201	-1.74274		
Θ_1	2.2	2.2		

RG equation in four dimensions

Standard Model matter content $N_S = 4$, $N_D = 22.5$ and $N_V = 12$:

$$(4\pi)^2 \phi(\rho) \approx -5.14542 - 2.19065\rho + 0.387687\rho^2 - 0.0000917095\rho^3 - \dots$$



$$\Theta_0 = 4, \Theta_1 \approx 2, \Theta_{2,3} \approx -27 \pm 31i, \text{ etc.}$$

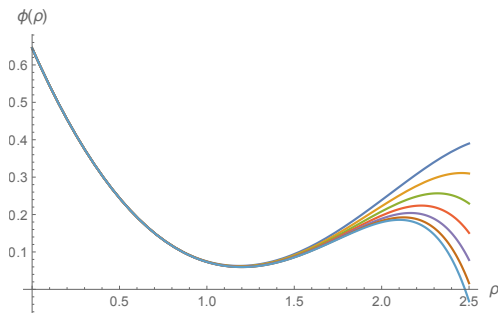
RG equation in four dimensions

Polynomial approximations for fixed functions in UV

Type II regulator: $\alpha_T = \alpha_V^{G,M} = \alpha_S^{G,M} = 0$ and $\alpha_D = 1/4$

Pure gravity:

$$(4\pi)^2 \phi(\rho) \approx 0.644905 - 1.05682\rho + 0.53372\rho^2 - 0.0470553\rho^3 - \dots$$



RG equation in four dimensions

Matter contribution to ODE for $\phi(\rho)$:

$$\begin{aligned} & \frac{1}{2}(N_S - 4N_D + 2N_V) \\ & + \frac{1}{12}(N_S + 2N_D - 3N_V)\rho \\ & + \frac{1}{36}(-N_S + 11N_V)\rho^2 + \mathcal{O}(\rho^3). \end{aligned}$$

Add only scalars:

N_S	0	3	6	9	11
g_0^*	0.644905	1.01172	1.3788	1.74694	1.99222
g_1^*	-1.05682	-0.878279	-0.688708	-0.481227	-0.319026
g_2^*	0.53372	0.489098	0.430368	0.339882	0.249306
Θ_1	1.76	1.63	1.46	≈ 1.2	≈ 0.9

Similar to type-I regulator.

RG equation in four dimensions

Matter contribution to ODE for $\phi(\rho)$:

$$\begin{aligned} & \frac{1}{2}(N_S - 4N_D + 2N_V) \\ & + \frac{1}{12}(N_S + 2N_D - 3N_V) \rho \\ & + \frac{1}{36}(-N_S + 11N_V) \rho^2 + \mathcal{O}(\rho^3). \end{aligned}$$

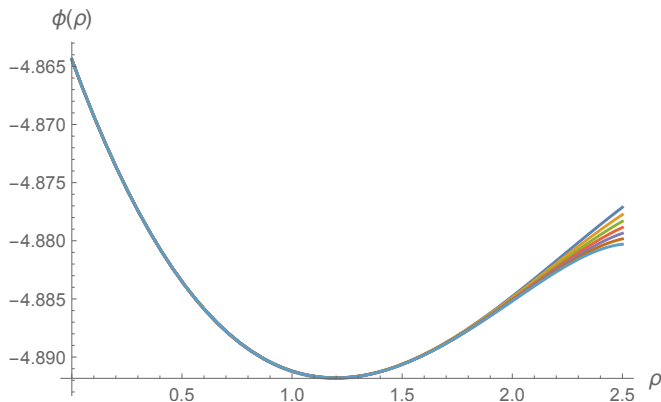
Add only fermions:

N_D	0	3	6	9
g_0^*	0.644905	-0.856389	-2.35813	-3.86084
g_1^*	-1.05682	-0.794662	-0.528304	-0.252755
Θ_1	1.76	1.73	1.71	1.79
N_D	10	11	12	15
g_0^*	-4.36225	-4.86439	-5.43094	-6.8698
g_1^*	-0.155924	-0.0517027	0.7863	0.332336
Θ_1	2.05	6.0	> 1000	> 1000

Opposite reaction as for type-I regulators, at $N_D \approx 11.5$ Newton's constant changes sign and solution becomes **unphysical**.

RG equation in four dimensions

Eleven fermions, shortly before solution “tilts”:



Note the change of scale, the fixed function for $N_D = 11$ is varying less by one percent in the shown interval.

RG equation in four dimensions

Matter contribution to ODE for $\phi(\rho)$:

$$\begin{aligned} & \frac{1}{2}(N_S - 4N_D + 2N_V) \\ & + \frac{1}{12}(N_S + 2N_D - 3N_V)\rho \\ & + \frac{1}{36}(-N_S + 11N_V)\rho^2 + \mathcal{O}(\rho^3). \end{aligned}$$

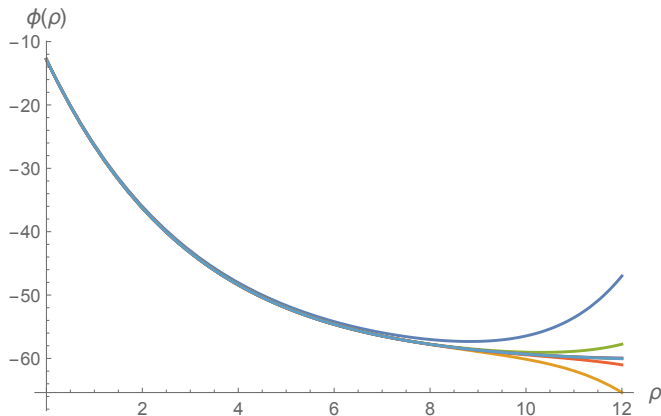
PRELIMINARY:

Adding only vector fields might even NOT be possible!!!

No solution found until now ...

RG equation in four dimensions

$\phi(\rho)$ for Standard Model content:



To achieve a solution for the matter content of the SM:
First, $N_S = 4$, $N_D = 22.5$, $N_V = 3$ & then increase # of vectors.
Solutions are quite distinct from all the ones discussed before!!

RG equation in four dimensions

$\phi(\rho)$ for Standard Model content:

- ▶ g_0^* is not only negative but also quite large in modulus.
- ▶ g_1^* is also quite large implying small FP value for Newton's constant.
- ▶ Not clear whether $\phi(\rho)$ possesses a minimum.
- ▶ Critical exponents do not show convergence (until polynomial order $N = 9$)



PRELIMINARY! Search for better solution on-going ...

RG equation in four dimensions

NA & F. Saueressig, in preparation

Global Fixed Functions: Global quadratic solutions

- ▶ Pure Gravity: Verified the five solutions given by Ohta/Percacci/Vacca.

But unstable under variation of parameters.

- ▶ With matter: Quite a number of solutions, e.g., for $\alpha_T = -53/94$, $\alpha_V^G = -83/564$, $\alpha_S^G = -3/47$ and $\alpha_D = 1/12$, $N_S = N_V = 0$:

$$\phi(\rho) = g_0^* + g_1^* \rho + g_2^* \rho^2$$

with

$g_0^* = 89/72 - N_D/2$, $g_1^* = -101/94$, and $g_2^* = 1414/6627$
is GLOBALLY a solution.

RG equation in four dimensions

Global Fixed Functions: Numerical solution

- ▶ Solve from $\rho = 0$ to minimum and require a zero of T_1 (cancel the singularity).
- ▶ Solve from minimum to 2nd fixed singularity.
- ▶ Match above 2nd singularity to semi-analytically determined asymptotic behaviour.

E.g., for pure gravity:

$$(4\pi)^2 \phi(\rho) = a_{\text{symp}} \rho^2 + \frac{1053 a_{\text{symp}}}{50 - 864 a_{\text{symp}}} \rho + \frac{4105728 a_{\text{symp}}^2 + 6727320 a_{\text{symp}} - 1943075}{384(25 - 432 a_{\text{symp}})^2} + \mathcal{O}(1/\rho)$$

- ▶ Repeat until solution and its derivatives become continuous, i.e., employ numerical multi-shooting.

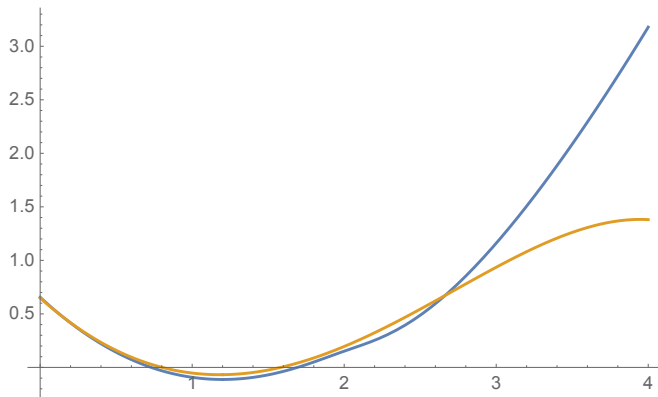
RG equation in four dimensions

Global Fixed Functions: Numerical solution

VERY PRELIMINARY!

E.g.:

Pure gravity with $\alpha_T = -1/6$, $\alpha_V^G = 1/2$, $\alpha_S^G = 1/3$



Blue: Numerical solution

Orange: Polynomial approximation

RG equation in four dimensions

With matter:

- ▶ Solution (if it exists) for small ρ captured very well by polynomial approximation (at least, for until now investigated cases).
- ▶ Leading asymptotic behaviour $\propto \rho^2$ not changed by matter, subleading changed.

Conclusions

$f(R)$ gravity with matter on spheres as background

Asymptotic safety scenario verified and fixed functions for a

- ▶ FRG study of $f(R)$ gravity coupled to scalar, Dirac fermion and Maxwell vector fields
 - ▶ exponential parameterization of the metric
 - ▶ traces performed explicitly by summing over eigenvalues
 - ▶ dependence on regulator parameters, e.g., type-I vs type-II regulators
 - ▶ Solutions with matter content up to SM:
 - ▶ polynomial approximations in UV (study almost completed)
 - ▶ global solutions (ongoing investigation)
 - ▶ Generically: Two relevant directions
 - ▶ scaling exponent for g_0 (**cosmological constant**): $\Theta_0 = 4$
 - ▶ for a linear combination of g_1 and g_2 : $\Theta \approx 1 \dots 3$
- Superposition of linear and quadratic term is relevant!**