

Finite- t and target mass corrections to DVCS

V. M. BRAUN

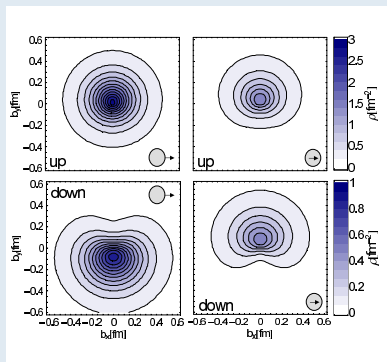
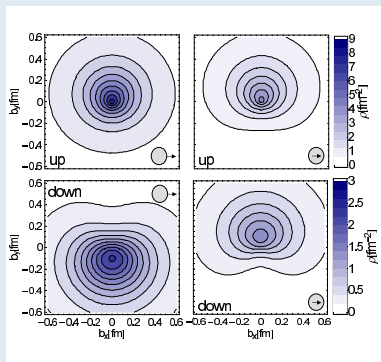
University of Regensburg

Trento, 25.10.2016



Nucleon Tomography ?

access to three-dimensional picture of the nucleon (M. Burkardt)



↪ first two moments of transverse spin parton density

computer simulations:

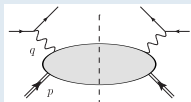
M. Gökeler *et al.*, Phys.Rev.Lett. 98 (2007) 222001

• paradigm shift: finite t a “nuisance” → important tool



- paradigm shift: finite t a “nuisance” \rightarrow important tool

DIS



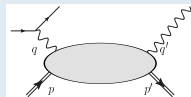
Define (p, q) as longitudinal plane:

$$p = (p_0, \vec{0}_\perp, p_z)$$

$$q = (q_0, \vec{0}_\perp, q_z)$$

\Rightarrow parton fraction = Bjorken x

DVCS



Many choices possible:

$$p = (p_0, \vec{0}_\perp, p_z), \quad q = (q_0, \vec{0}_\perp, q_z)$$

or

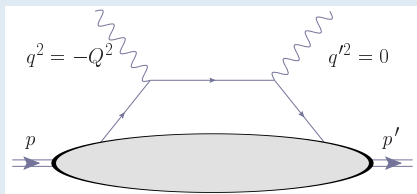
$$p + p' = (P_0, \vec{0}_\perp, P_z), \quad q = (q_0, \vec{0}_\perp, q_z)$$

etc.

\Rightarrow parton fraction $2\xi = x_B [1 + \mathcal{O}(\frac{t}{Q^2})]$,
redefinition of helicity amplitudes

- Ambiguity is resolved by adding “kinematic” power corrections $t/Q^2, m^2/Q^2$





longitudinal plane (q, q')

$$n = q', \quad \tilde{n} = -q + \frac{Q^2}{Q^2 + t} q'$$

with this choice $\Delta = q - q'$ is longitudinal and

$$|P_{\perp}|^2 = -m^2 - \frac{t}{4} \frac{1 - \xi^2}{\xi^2} \sim t_{\min} - t$$

where

$$P = \frac{1}{2}(p + p'), \quad \xi_{\text{BMP}} = -\frac{(\Delta \cdot q')}{2(P \cdot q')} = \frac{x_B(1 + t/Q^2)}{2 - x_B(1 - t/Q^2)}$$

photon polarization vectors

$$\begin{aligned} \varepsilon_{\mu}^0 &= -\left(q_{\mu} - q'_{\mu} q^2 / (qq')\right) / \sqrt{-q^2}, \\ \varepsilon_{\mu}^{\pm} &= (P_{\mu}^{\perp} \pm i\bar{P}_{\mu}^{\perp}) / (\sqrt{2}|P_{\perp}|), \quad \bar{P}_{\mu}^{\perp} = \epsilon_{\mu\nu}^{\perp} P^{\nu} \end{aligned}$$



Relating CFFs in the laboratory and photon reference frame

$$\mathcal{F}_{++}^{\text{lab}} = \mathcal{F}_{++}^{\text{phot}} + \frac{\varkappa}{2} \left[\mathcal{F}_{++}^{\text{phot}} + \mathcal{F}_{-+}^{\text{phot}} \right] - \varkappa_0 \mathcal{F}_{0+}^{\text{phot}},$$

$$\mathcal{F}_{0+}^{\text{lab}} = - (1 + \varkappa) \mathcal{F}_{0+}^{\text{phot}} + \varkappa_0 \left[\mathcal{F}_{++}^{\text{phot}} + \mathcal{F}_{-+}^{\text{phot}} \right]$$

$$\mathcal{F} \in \{\mathcal{H}, \mathcal{E}, \tilde{\mathcal{H}}, \tilde{\mathcal{E}}\}$$

where

$$\varkappa_0 \sim \sqrt{(t_{\min} - t)/Q^2},$$

$$\varkappa \sim (t_{\min} - t)/Q^2$$

and different skewedness parameter

$$\xi^{\text{lab}} \simeq \frac{x_B}{2 - x_B} \quad \text{vs.} \quad \xi^{\text{phot}} = \frac{x_B(1 + t/Q^2)}{2 - x_B(1 - t/Q^2)}$$



Kumerički-Müller convention (KM)

$$\text{LT}_{\text{KM}} : \begin{cases} \mathcal{F}_{++}^{\text{lab}} = T_0 \otimes F, & \mathcal{F}_{0+}^{\text{lab}} = 0, \\ \mathcal{F}_{-+}^{\text{lab}} = 0, & \xi_{\text{KM}} = \xi^{\text{lab}} \end{cases}$$

Braun-Manashov-Pirnay convention (BMP)

$$\text{LT}_{\text{BMP}} : \begin{cases} \mathcal{F}_{++}^{\text{phot}} = T_0 \otimes F, & \mathcal{F}_{0+}^{\text{phot}} = 0, \\ \mathcal{F}_{-+}^{\text{phot}} = 0, & \xi_{\text{BMP}} = \xi^{\text{phot}} \end{cases}$$



$$\text{LT}_{\text{BMP}} : \begin{cases} \mathcal{F}_{++}^{\text{lab}} = \left(1 + \frac{\varkappa}{2}\right) T_0 \otimes F, & \mathcal{F}_{0+} = \varkappa_0 T_0 \otimes F \\ \mathcal{F}_{-+}^{\text{lab}} = \frac{\varkappa}{2} T_0 \otimes F, & \xi = \xi_{\text{BMP}}, \end{cases}$$

- **Changing frame of reference results in**
 - Different skewedness parameter for a given x_B
 - Numerically significant excitation of helicity-flip CFFs
- **Different results for experimental observables**



What is “the best” reference frame?

- For many observables, “photon frame” LT calculation is very close to full twist-4

Braun, Manashov, Müller, Pirnay: PRD89 (2014) 074022

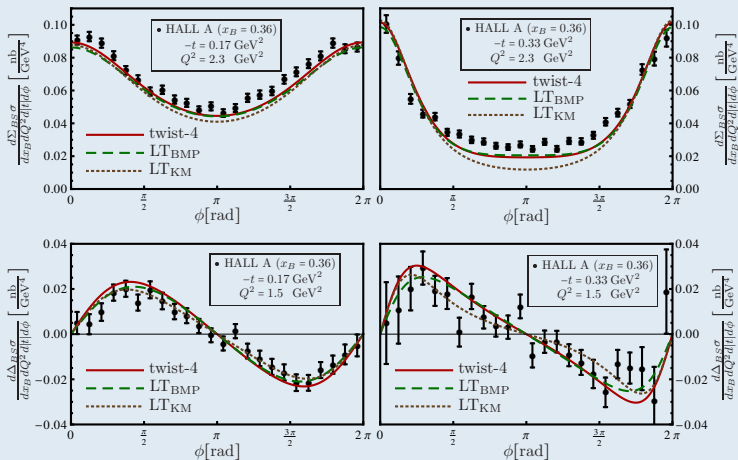
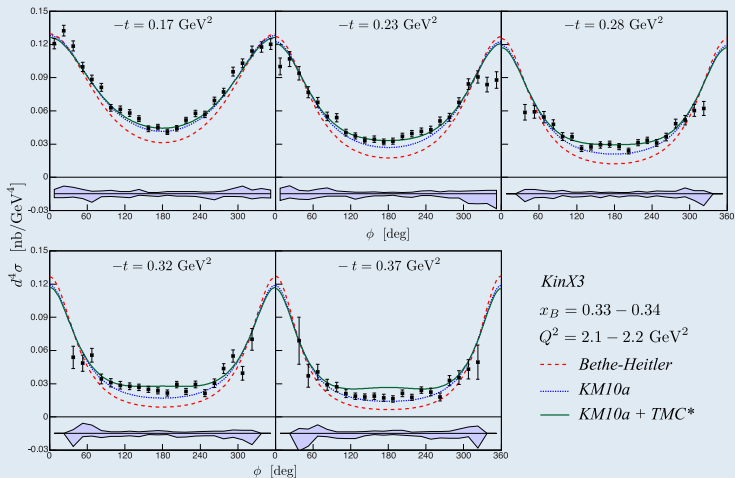


Figure: Unpolarized cross section [upper panels] and electron helicity dependent cross section difference [lower panels] from HALL A (old data) compared to the GK12 GPD model

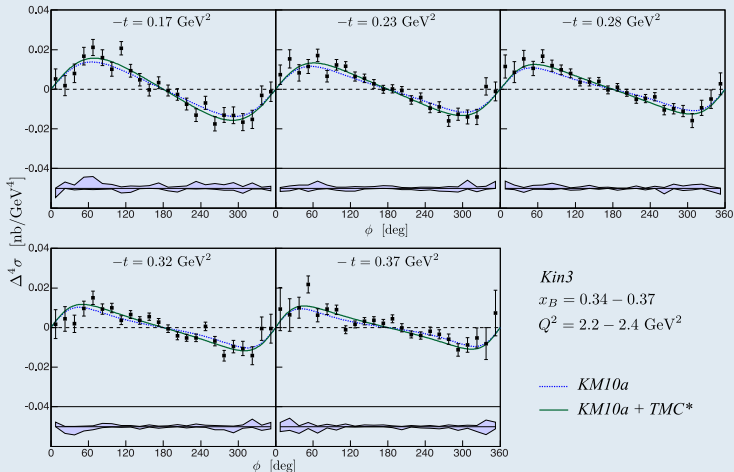




- TMC* refers to the calculation that includes full kinematic twist-4 corrections

GPD model: KM10a (Kumericki, Mueller, Nucl. Phys. B **841** (2010) 1)





- **TMC*** refers to the calculation that includes full kinematic twist-4 corrections

GPD model: KM10a (Kumericki, Mueller, Nucl. Phys. B **841** (2010) 1)



- **Early work:**

- Extension of Nachtmann's approach to target mass corrections in DIS
- Spin-rotation (Wandzura-Wilczek)

Blümlein, Robaschik: NPB581 (2000) 449

Radyushkin, Weiss: PRD63 (2001) 114012

Belitsky, Müller: NPB589 (2000) 611

...

- Results not gauge invariant
- Results not translation invariant

- A related discussion in a different context:
Ball, Braun: NPB543 (1999) 201

- **A conceptual problem?**



Contributions of different twist are intertwined by symmetries:

- Conservation of the electromagnetic current and translation invariance

$$\begin{aligned}\partial^\mu T\{j_\mu^{em}(x)j_\nu^{em}(0)\} &= 0 \\ T\{j_\mu^{em}(2x)j_\nu^{em}(0)\} &= e^{-i\mathbf{P}\cdot x} T\{j_\mu^{em}(x)j_\nu^{em}(-x)\} e^{i\mathbf{P}\cdot x}\end{aligned}$$

are valid in the sum of all twists but not for each twist separately

- Higher-twist contributions that restore gauge/translation invariance are due to descendants of leading-twist operators obtained by adding total derivatives

$$T\{j_\mu^{em}(x)j_\nu^{em}(0)\} = \underbrace{\sum_N a_N \mathcal{O}_N}_{\text{leading-twist}} + \sum_N (b_N \partial^2 \mathcal{O}_N + c_N (\partial \mathcal{O})_N) + \text{other operators}$$

- These operators contribute to finite- t and target mass corrections

Task: Find the contributions to the OPE of all descendants of leading-twist operators



Invisible operator?

- **The problem:**

S. Ferrara, A. F. Grillo, G. Parisi and R. Gatto, Phys. Lett. **B38**, 333 (1972):

— matrix elements of $\partial^\mu \mathcal{O}_{\mu\mu_1\dots\mu_N}$ over free quarks vanish

- ? Go over to NLO
- ? More complicated quark-gluon matrix elements
- ? Off-shell OPE

— main difficulty is to disentangle the contribution of interest from “genuine” twist-4 operators

- Using EOM $\partial^\mu \mathcal{O}_{\mu\mu_1\dots\mu_N}$ can be expressed in terms of quark-gluon operators. e.g.:

Kolesnichenko '84

$$\partial^\mu O_{\mu\nu} = 2i\bar{q}gF_{\nu\mu}\gamma^\mu q,$$

where

$$O_{\mu\nu} = (1/2)[\bar{q}\gamma_\mu \overset{\leftrightarrow}{D}_\nu q + (\mu \leftrightarrow \nu)]$$

- **One cannot ignore $\bar{q}Fq$ operators**

— Is it possible at all to define the separation between “kinematic” and “genuine” twist four?



Guiding principle:

Braun, Manashov, PRL 107 (2011) 202001

- “kinematic” approximation amounts to the assumption that genuine twist-four matrix elements are zero
- for consistency, they must remain zero at all scales
- they must not reappear at higher scales due to mixing with “kinematic” operators

- **“Kinematic” and “Dynamic” contributions must have autonomous scale-dependence**

Indeed, otherwise:

$$\begin{aligned} \left(\langle \bar{q} F q \rangle + c \langle (\partial \mathcal{O}) \rangle \right)^{\mu^2} &= \left(\frac{\alpha_s(\mu^2)}{\alpha_s(\mu_0^2)} \right)^{\gamma_4/\beta_0} \left(\langle \bar{q} F q \rangle + c \langle (\partial \mathcal{O}) \rangle \right)^{\mu_0^2} \\ \Rightarrow \langle \bar{q} F q \rangle^{\mu^2} &= \left(\frac{\alpha_s(\mu^2)}{\alpha_s(\mu_0^2)} \right)^{\gamma_4/\beta_0} \langle \bar{q} F q \rangle^{\mu_0^2} + c \left[\left(\frac{\alpha_s(\mu^2)}{\alpha_s(\mu_0^2)} \right)^{\gamma_4/\beta_0} - \left(\frac{\alpha_s(\mu^2)}{\alpha_s(\mu_0^2)} \right)^{\gamma_2/\beta_0} \right] \langle (\partial \mathcal{O}) \rangle^{\mu_0^2} \end{aligned}$$

[The “kinematic” approximation corresponds to taking into account *all* operators with the same anomalous dimensions as the leading twist operators]



- Explicit diagonalization of the mixing matrix for twist-4 operators not feasible
- In a conformal theory

$$\left(\mu \partial_\mu + \beta(\alpha) \partial_\alpha + \mathbb{H} \right) \mathcal{O}_j = 0 \quad \Longrightarrow \quad \langle T \{ \mathcal{O}_{j_1}(x) \mathcal{O}_{j_2}(0) \} \rangle \sim \delta_{j_1 j_2}$$

therefore

$$T \{ j(x) j(0) \} = \sum_N C_N(x, \partial) \mathcal{O}_N + \dots, \\ C(x, \partial) \mathcal{O}_N = a_N \mathcal{O}_N + b_N \partial^2 \mathcal{O}_N + c_N (\partial \mathcal{O})_N + \dots$$

\Longrightarrow

$$\langle T \{ j(x) j(0) \mathcal{O}_N(y) \} \rangle = C_N(x, \partial) \langle T \{ \mathcal{O}_N(0) \mathcal{O}_N(y) \} \rangle + 0$$

— might work in QCD

- Orthogonality of eigenoperators suggests that \mathbb{H} is a hermitian operator w.r.t. a certain scalar product

Braun, Manashov, Rohrwild, Nucl. Phys. **B807** (2009) 89; Nucl. Phys. **B826** (2010) 235.



Summary of this part:

- **noncoplanarity makes separation of collinear directions ambiguous**
 - hence “leading twist approximation” ambiguous
 - related to violation of translation invariance and EM Ward identities
- **Complete results available to t/Q^2 , m^2/Q^2 accuracy**
 - translation and gauge invariance restored
 - for many observables, complete results close to LT in “photon frame”
- **Must be taken into account in all studies aiming at 3D proton structure**
- **Outlook:**
 - all twists in LO, exact translation and gauge invariance
 - small x , matching to the BFKL formalism
 - NLO



$$\begin{aligned}
\mathcal{A}_{\mu\nu}(q, q', p) &= i \int d^4x e^{-i(z_1 q - z_2 q')x} \langle p', s' | T \{ J_\mu(z_1 x) J_\nu(z_2 x) \} | p, s \rangle \\
&= \varepsilon_\mu^+ \varepsilon_\nu^- \mathcal{A}^{++} + \varepsilon_\mu^- \varepsilon_\nu^+ \mathcal{A}^{--} + \varepsilon_\mu^0 \varepsilon_\nu^- \mathcal{A}^{0+} \\
&\quad + \varepsilon_\mu^0 \varepsilon_\nu^+ \mathcal{A}^{0-} + \varepsilon_\mu^+ \varepsilon_\nu^+ \mathcal{A}^{+-} + \varepsilon_\mu^- \varepsilon_\nu^- \mathcal{A}^{-+} + q'_\nu \mathcal{A}_\mu^{(3)}
\end{aligned}$$

for the calculation to the twist-4 accuracy one needs

- $\mathcal{A}^{++}, \mathcal{A}^{--}$: $1 + \frac{1}{Q^2}$
- $\mathcal{A}^{0+}, \mathcal{A}^{0-}$: $\frac{1}{Q}$ ← agree with existing results
- $\mathcal{A}^{-+}, \mathcal{A}^{+-}$: $\frac{1}{Q^2}$ ← straightforward



BMP Compton form factors (CFFs)

- Photon helicity amplitudes can be expanded in a given set of spinor bilinears

$$\mathcal{A}_q^{a\pm} = \mathbb{H}_{a\pm}^q h + \mathbb{E}_{a\pm}^q e \mp \widetilde{\mathbb{H}}_{a\pm}^q \tilde{h} \mp \widetilde{\mathbb{E}}_{a\pm}^q \tilde{e}$$

with, e.g.

Belitsky, Müller, Ji: NPB **878** (2014) 214

$$h = \frac{\bar{u}(p') (\not{q} + \not{q}') u(p)}{P \cdot (\not{q} + \not{q}')} \quad \dots$$

- The results read

Braun, Manashov, Pirnay: PRL109 (2012) 242001

$$\begin{aligned} \mathbb{H}_{++} &= T_0 \otimes H + \frac{t}{Q^2} \left[-\frac{1}{2} T_0 + T_1 + 2\xi \mathbf{D}_\xi T_2 \right] \otimes H + \frac{2t}{Q^2} \xi^2 \partial_\xi \xi T_2 \otimes (H+E) \\ \mathbb{H}_{0+} &= -\frac{4|\xi P_\perp|}{\sqrt{2}Q} \left[\xi \partial_\xi T_1 \otimes H + \frac{t}{Q^2} \partial_\xi \xi T_1 \otimes (H+E) \right] - \frac{t}{\sqrt{2}Q|\xi P_\perp|} \xi T_1 \otimes \left[\xi(H+E) - \tilde{H} \right] \\ \mathbb{H}_{-+} &= \frac{4|\xi P_\perp|^2}{Q^2} \left[\xi \partial_\xi^2 \xi T_1^{(+)} \otimes H + \frac{t}{Q^2} \partial_\xi^2 \xi^2 T_1^{(+)} \otimes (H+E) \right] \\ &\quad + \frac{2t}{Q^2} \xi \left[\xi \partial_\xi \xi T_1^{(+)} \otimes (H+E) + \partial_\xi \xi T_1 \otimes \tilde{H} \right] \end{aligned}$$



BMP Compton form factors (CFFs)

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$$A_q^{a\pm} = \mathbb{H}_{a\pm}^q h + \mathbb{E}_{a\pm}^q e \mp \widetilde{\mathbb{H}}_{a\pm}^q \tilde{h} \mp \widetilde{\mathbb{E}}_{a\pm}^q \tilde{e}$$

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$$h = \frac{\bar{u}(p') (\not{q} + \not{q}') u(p)}{P \cdot (\not{q} + \not{q}')} \quad \dots$$

- The results read

Braun, Manashov, Pirnay: PRL109 (2012) 242001

$$\begin{aligned} \mathbb{E}_{++} &= T_0 \otimes E + \frac{t}{Q^2} \left[-\frac{1}{2} T_0 + T_1 + 2\xi \mathbf{D}_\xi T_2 \right] \otimes E - \frac{8m^2}{Q^2} \xi^2 \partial_\xi \xi T_2 \otimes (H + E) \\ \mathbb{E}_{0+} &= -\frac{4|\xi P_\perp|}{\sqrt{2}Q} \left[\xi \partial_\xi T_1 \otimes E \right] + \frac{4m^2}{\sqrt{2}Q|\xi P_\perp|} \xi T_1 \otimes \left[\xi (H + E) - \tilde{H} \right] \\ \mathbb{E}_{-+} &= \frac{4|\xi P_\perp|^2}{Q^2} \left[\xi \partial_\xi^2 \xi T_1^{(+)} \otimes E \right] - \frac{8m^2}{Q^2} \xi \left[\xi \partial_\xi \xi T_1^{(+)} \otimes (H + E) + \partial_\xi \xi T_1 \otimes \tilde{H} \right] \end{aligned}$$

etc.



where $F = H, E, \tilde{H}, \tilde{E}$ are C -even GPDs

$$T^{\otimes} F = \sum_q e_q^2 \int_{-1}^1 \frac{dx}{2\xi} T\left(\frac{\xi + x - i\epsilon}{2(\xi - i\epsilon)}\right) F(x, \xi, t)$$

the coefficient functions T_k^{\pm} are given by the following expressions:

$$T_0(u) = \frac{1}{1-u}$$

$$T_1(u) \equiv T_1^{(-)}(u) = -\frac{\ln(1-u)}{u}$$

$$T_1^{(+)}(u) = \frac{(1-2u)\ln(1-u)}{u}$$

$$T_2(u) = \frac{\text{Li}_2(1) - \text{Li}_2(u)}{1-u} + \frac{\ln(1-u)}{2u}$$

and

$$\mathbf{D}_{\xi} = \partial_{\xi} + 2 \frac{|\xi P_{\perp}|^2}{t} \partial_{\xi}^2 \xi = \partial_{\xi} - \frac{t - t_{\min}}{2t} (1 - \xi^2) \partial_{\xi}^2 \xi$$



Main features:

- Two expansion parameters

$$\frac{t}{Q^2}; \quad \frac{t - t_{\min}}{Q^2} \sim \frac{|\xi P_{\perp}|^2}{Q^2}$$

- All mass corrections for scalar targets absorbed in $t_{\min} = -4m^2\xi^2/(1 - \xi^2)$; always overcompensated by finite- t corrections in the physical region
- Some extra m^2/Q^2 corrections for nucleon due to spinor algebra; disappear in certain CFF combinations
- Factorization checked to $1/Q^2$ accuracy
- Gauge and translation invariance checked to $1/Q^2$ accuracy
- Correct threshold behavior $t \rightarrow t_{\min}$, $\xi \rightarrow 1$
- Dispersion representation possible and worked out



- **noncomplanarity makes separation of collinear directions ambiguous**
 - hence “leading twist approximation” ambiguous
 - related to violation of translation invariance and EM Ward identities
- **Target mass and finite- t corrections to DVCS are known to twist-4 accuracy**
 - Gauge and translation invariance of Compton tensor is restored to $1/Q^2$ accuracy
 - Convention-dependence of the common leading-twist calculations is removed
 - For many observables, complete results close to LT in “photon frame”
 - Theoretically motivated limits $-t/Q^2 \lesssim 1/4$
- **Must be taken into account in all studies aiming at 3D proton structure**
- **Outlook:**
 - time-like DVCS
 - all twists in LO, exact translation and gauge invariance
 - small x , matching to the BFKL formalism
 - NLO



Backup slides



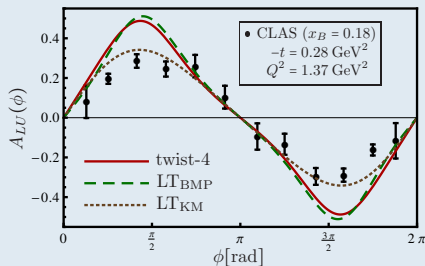
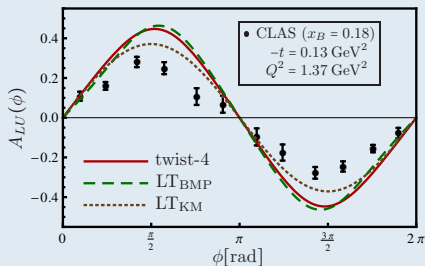


Figure: Single electron beam spin asymmetry by CLAS collaboration

GPD model: GK12 (Kroll, Moutarde, Sabatie, Eur.Phys.J. C73, 2278)



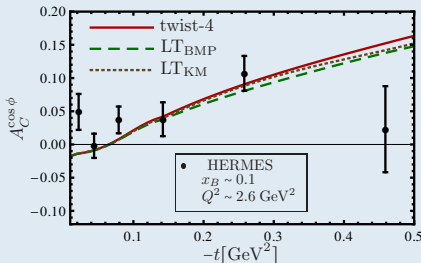
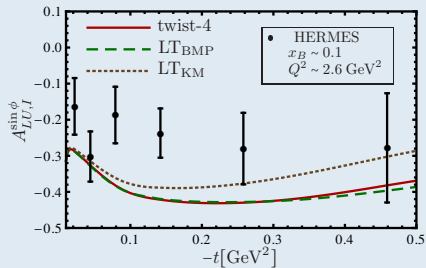


Figure: The single electron beam spin asymmetry [left panel] in the charge-odd sector and the unpolarized beam charge asymmetry [right panel] measured by the HERMES collaboration

GPD model: GK12 (Kroll, Moutarde, Sabatie, Eur.Phys.J. C73, 2278)



Braun, Manashov, Müller, Pirnay: arXiv:1401.7621

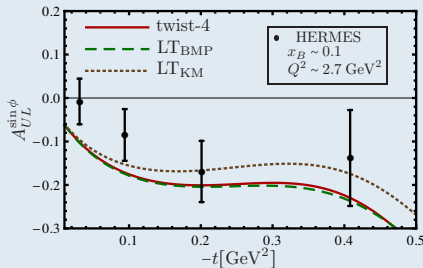
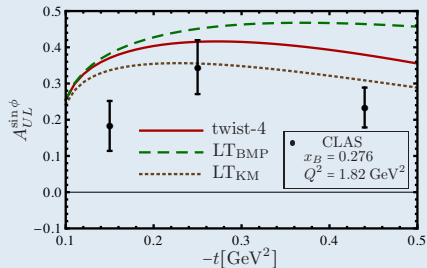


Figure: Longitudinal proton spin asymmetry from CLAS [left panel], measured with an electron beam, and HERMES [right panel], measured with a positron beam

GPD model: GK12 (Kroll, Moutarde, Sabatie, Eur.Phys.J. C73, 2278)



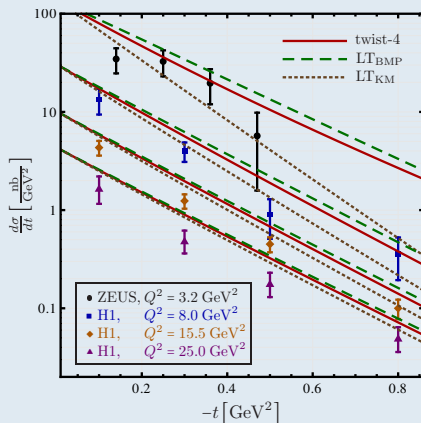


Figure: The DVCS cross section from H1 (squares, diamonds, triangles) and ZEUS (circles)

GPD model: GK12 (Kroll, Moutarde, Sabatie, Eur.Phys.J. C73, 2278)



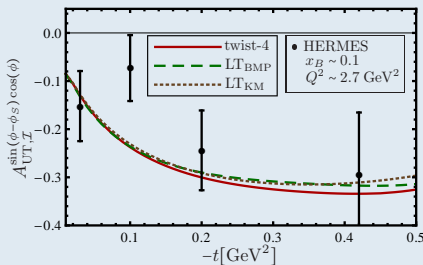
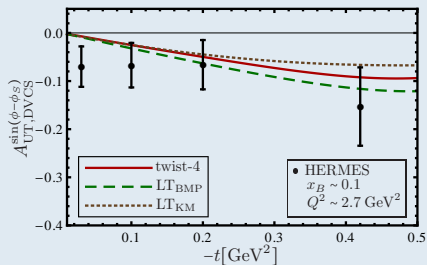


Figure: Transverse target spin asymmetries by HERMES collaboration

GPD model: GK12 (Kroll, Moutarde, Sabatie, Eur.Phys.J. C73, 2278)

