

# CONFORMAL FIELD THEORIES OF GODS AND RENORMALIZATION GROUP FLOWS OF MEN

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## Quotes of the day

*This convoluted and baroque procedure is given the technical term renormalization. It works in practice but leaves a bitter taste in the mouth of anyone desiring simplicity of nature.*

Excerpt from: C. Rovelli, *Seven Brief Lessons on Physics*

*Renormalization group is a man-made thing.*

Attributed to A. M. Polyakov and often heard from S. Rychkov

## Outline

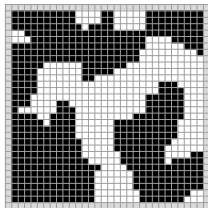
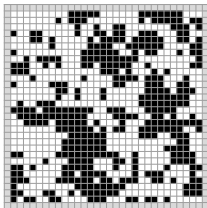
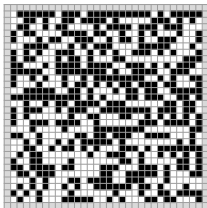
- ▶ Motivations
- ▶ Functional *perturbative* RG
- ▶ Ising universality class
- ▶ Blume-Capel universality class
- ▶ Thomas universality class

**Motivation:  
second order phase transitions  
and critical phenomena**

## Example: Ising model

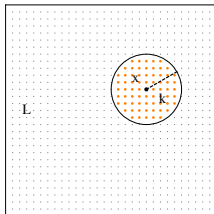
$$S = -J \sum_{\langle ij \rangle} s_i s_j + B \sum_i s_i$$

$$s_i = \pm 1$$



## Effective Ginzburg-Landau theory

$$\phi(x) = \langle s_i \rangle_k$$



Mesoscopic average:  $a \ll 1/k \ll L$

$$S = \int d^d x \left\{ \frac{1}{2} (\partial \phi)^2 + V(\phi) + \dots \right\}$$

## Universality class

$$V(\phi) = \lambda\phi^4$$

- ▶ System forgets microphysics
- ▶ High predictivity: critical exponents
- ▶ Hidden symmetry: conformal
  
- ▶ Upper critical dimension  $d_c = 4$
- ▶ Field theoretical tools: CFT and RG
- ▶ Observables: operators

$$\phi, \phi^2, \dots, \partial^n \phi^m$$

## CFT data

Correlators are strongly constrained. Let  $O_a(x)$  be a special basis of local scalar non-derivative operators (primaries):

$$\langle O_a(x) O_b(y) \rangle = \frac{c_a \delta_{ab}}{|x - y|^{2\Delta_a}}$$

$$\begin{aligned} &\langle O_a(x) O_b(y) O_c(z) \rangle \\ &= \frac{C_{abc}}{|x - y|^{\Delta_a + \Delta_b - \Delta_c} |y - z|^{\Delta_b + \Delta_c - \Delta_a} |z - x|^{\Delta_c + \Delta_a - \Delta_b}} \end{aligned}$$

4PF depends on the above!



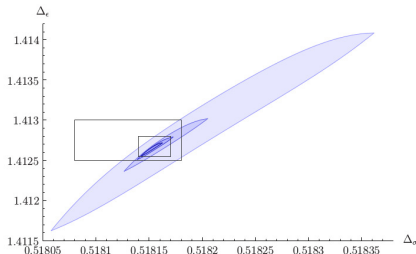
## Bootstrap program(s)

$$\sigma \sim \phi; \quad \epsilon \sim \frac{\phi^2}{2}$$

$$\eta = 2\Delta_\sigma - d + 2; \quad \nu = 1/(d - \Delta_\epsilon)$$

- ▶ Conformal bootstrap (Polyakov's) **El-Showk et al. [1203.6064]**
  - ▶ Self-consistency of CFT data using 4pf (Dolan and Osborn's)
  - ▶ Unitarity
  - ▶ Numerical and analytical
  
- ▶ CFT/Schwinger-Dyson bootstrap **Rychkov and Tan [1505.00963]**
  - ▶ Consistency of CFT data with free theory
  - ▶ Reconstructs 2pf and 3pf from the free theory
  - ▶  $\epsilon$ -expansion and upper critical dimensions **CSVZ [1703.04830]**

# Conformal bootstrap (Polyakov's)



Simmons-Duffin [1502.02033]

- ▶ Convergence
- ▶ Clear algorithm for improvement
- ▶ Reliable error bars
- ▶ Several byproducts: OPE coefficients, structure constants
- ▶ Beats lattice error bars

Hasenbusch [1004.4486]

**Renormalization group  
and scheme dependence  
(also a motivation)**

## Couplings and beta functions

$$S = \sum_i \mu^{d-\Delta_i} g^i \int d^d x \Phi_i(x) \quad \beta^i = \mu \frac{dg^i}{d\mu}$$

Fixed point:

$$\beta^i(g_*) = 0$$

$$\beta^k(g_* + \delta g) = \sum_i M^k_i \delta g^i + \sum_{i,j} N^k_{ij} \delta g^i \delta g^j + O(\delta g^3)$$

$$M^i_j \equiv \left. \frac{\partial \beta^i}{\partial g^j} \right|_* \quad N^i_{jk} \equiv \left. \frac{1}{2} \frac{\partial^2 \beta^i}{\partial g^j \partial g^k} \right|_*$$

## Scaling operators at the FP

Scaling analysis:

$$\sum_{i,j} \mathcal{S}^a_i M^i_j (\mathcal{S}^{-1})^j_b = -\theta_a \delta^a_b \quad \tilde{C}^a_{bc} = \sum_{i,j,k} \mathcal{S}^a_i N^i_{jk} (\mathcal{S}^{-1})^j_b (\mathcal{S}^{-1})^k_c$$

Critical exponents:

$$\theta_i = d - D_i = d - \delta_i - \tilde{\gamma}_i \quad D_i \simeq \Delta_i$$

Scaling form:

$$S = S_* + \sum_a \mu^{\theta_a} \lambda^a \int d^d x \mathcal{O}_a(x) + O(\lambda^2) \quad \mathcal{O}_a = \sum_i (\mathcal{S}^{-1})^i_a \Phi_i$$

## Conformal perturbation theory

Our perturbative expansion

$$\beta^a = -(d - \Delta_a)\lambda^a + \sum_{b,c} \tilde{C}^a{}_{bc} \lambda^b \lambda^c + O(\lambda^3)$$

Compare with Cardy's scheme\*

$$\beta^a = -(d - \Delta_a)\lambda^a + \sum_{b,c} C^a{}_{bc} \lambda^b \lambda^c + O(\lambda^3)$$

where  $C^a{}_{bc}$  come from the OPE

$$\mathcal{O}_a(x)\mathcal{O}_b(y) = \sum_c \frac{1}{|x-y|^{\Delta_a+\Delta_b-\Delta_c}} C^c{}_{ab} \mathcal{O}_c(x)$$

Are  $\tilde{C}^a{}_{bc} = C^a{}_{bc}$ ? Well...

## Transformation properties (a way around the caveats)

$$\bar{g}^i = \bar{g}^i(g)$$

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$$\bar{M}^i_j = \frac{\partial \bar{g}^i}{\partial g^l} M^l_k \frac{\partial g^k}{\partial \bar{g}^j} \quad \Longrightarrow \quad \bar{\theta}_a = \theta_a \quad \text{and} \quad \bar{\Delta}_a = \Delta_a$$

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$$\bar{\tilde{C}}^c_{ab} = \tilde{C}^c_{ab} + \frac{1}{2} (\theta_c - \theta_a - \theta_b) \frac{\partial^2 g^c}{\partial \bar{g}^l \partial \bar{g}^m} \frac{\partial \bar{g}^l}{\partial g^a} \frac{\partial \bar{g}^m}{\partial g^b}$$

$$\begin{aligned} \bar{\tilde{C}}^c_{ab} = \tilde{C}^c_{ab} & \quad \text{if either} \quad \theta_c - \theta_a - \theta_b = 0 \\ & \quad \text{or} \quad \frac{\partial^2 g_c}{\partial g^p \partial g^q} = 0 \end{aligned}$$

# **Functional perturbative RG and the Ising universality class**



## Motivations

- ▶  $\theta_c - \theta_a - \theta_b = 0$  unlikely to happen with any generality
- ▶ Arbitrary scheme  $\frac{\partial^2 g_c}{\partial g'_p \partial g'_q} \neq 0$

Codello et al. [1310.7625]

We seek for:

- ▶ Universality
- ▶ Simplicity

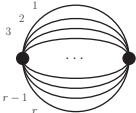
CSVZ [1705.05558]

## Path integral

$$S[\phi] = \int d^d x \left\{ \frac{1}{2} (\partial\phi)^2 + V(\phi) \right\}$$

$$W = \int D\chi e^{-S[\phi+\chi]} = \int D\chi e^{-S_0[\phi+\chi] - \int V(\phi+\chi) - J\cdot\chi}$$

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$$W^{(2)} = \sum_r \frac{1}{r!} \quad \begin{array}{c} 1 \\ 2 \\ 3 \\ \dots \\ r-1 \\ r \end{array} \quad \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \quad \text{in} \quad d = 4 - \epsilon$$
A diagram showing two black dots representing external vertices. Between them are r curved lines representing internal propagators. The lines are labeled with numbers 1, 2, 3, ..., r-1, r from top to bottom. An ellipsis (...) is placed between the two dots, indicating the continuation of the series.

$$S_{\text{c.t.}} = \int d^d x \left\{ \frac{1}{2(4\pi)^2 \epsilon} V^{(2)}(\phi)^2 - \frac{1}{12(4\pi)^4 \epsilon} V^{(4)}(\phi)^2 (\partial\phi)^2 \right\}$$

## Functional perturbative RG

At LO

$$\beta_V = \frac{1}{2} \frac{(V^{(2)})^2}{(4\pi)^2} \qquad \beta_Z = -\frac{1}{6} \frac{(V^{(4)})^2}{(4\pi)^4}$$

Define dimless  $v(\varphi) = (4\pi)^2 k^{-4} V(\varphi k^{(d-2)/2} Z_0)$

$$\beta_v = -4v + \varphi v^{(1)} + \epsilon \left( v - \frac{1}{2} \varphi v^{(1)} \right) + \frac{1}{2} \eta \varphi v^{(1)}$$

$$z(0) = 1 \qquad \implies \qquad \eta = -\mu \partial_\mu \log Z_0 = \frac{1}{6} (v^{(4)}(0))^2$$

$\beta_V$  as the generator of gamma-functions in  $d = 4$

Scaling limit at GFP as a function of the critical coupling  $g$ :

$$v(\varphi) = g\varphi^4 + \sum_{k \neq 4} g_k \varphi^k$$

$$\beta_V \simeq \beta_g \varphi^4 - \sum_{k \neq 4} (d - \delta_k - \tilde{\gamma}_k(g)) g_k \varphi^k + \sum_{k,r,s \neq 4} \tilde{C}_{rs}^k(g) g_r g_s \varphi^k + \dots$$

Now assemble GFP data to describe non-trivial FP in  $d < 4$ .

## Ising critical exponents in $d = 4 - \epsilon$

Very democratically:

$$v(\varphi) = \sum_{k \geq 0} g_k \varphi^k$$

$$\beta_v = 0 \quad \Longrightarrow \quad v(\varphi) = g\varphi^4 \quad \text{with} \quad g = \frac{\epsilon}{72} + \frac{17\epsilon^2}{1944}$$

$$\theta_i = 4 - i - \frac{1}{6}(i^2 - 4i + 6)\epsilon + \frac{1}{324}i(18i^2 - 70i + 49)\epsilon^2 - \frac{2}{27}\epsilon^2\delta_{i,4}$$

$$\eta = 2 - d + 2\theta_1 \quad v = (\theta_2)^{-1} \quad \omega = -\theta_4 = \beta'_g$$

## Some of Ising's CFT data

$$\Delta_i \simeq d - \theta_i$$

in CFT notation

$$\Delta_1 = \Delta_\sigma \qquad \Delta_2 = \Delta_\epsilon$$

$C^i_{jk}$  are estimated whenever  $i + j - k = 4$   
(that is  $\theta_k - \theta_i - \theta_j = 0$  at Gaussian point)

$$\tilde{C}^1_{23} = 6 - 2\epsilon \qquad \tilde{C}^1_{14} = \frac{2}{3}\epsilon \qquad \tilde{C}^2_{33} = 18 - 15\epsilon$$

Agree with both CFT and other QFT estimates.

There is much more to see.

CSVZ [1705.05558]

**Intermezzo:**  
**Relation with non-perturbative FRG**

## Wetterich equation in the LPA

$$k\partial_k\Gamma_k = \frac{1}{2}\text{Tr}\left(\Gamma^{(2)} + R_k\right) k\partial_k R_k$$

Choosing a specific cutoff:

$$\beta_V = k\partial_k V = \frac{2}{(4\pi)^{d/2}d\Gamma(\frac{d}{2})} \frac{k^{d+2}}{k^2 + V''}$$

$$\beta_V = \frac{2}{(4\pi)^{d/2}d\Gamma(\frac{d}{2})} \left\{ k^d - k^{d-2}V'' + k^{d-4}(V'')^2 - k^{d-6}(V'')^3 + \dots \right\}$$



## Logarithmic singularities

In  $d = 4$

$$\Delta V = - \int^{\Lambda} \frac{dk}{k} \beta_V \sim - \int^{\Lambda} \frac{dk}{k} k^{d-4} (V'')^2 \sim -(V'')^2 \log \Lambda$$

$$\beta_V = \frac{2}{(4\pi)^{d/2} d \Gamma(\frac{d}{2})} \left\{ k^d - k^{d-2} V'' + k^{d-4} (V'')^2 - k^{d-6} (V'')^3 + \dots \right\}$$
$$\supset \frac{1}{2(4\pi)^2} (V'')^2 \quad \text{in } d = 4$$

Chop all other terms ( $\overline{\text{MS}}$ )

$$\beta_V = \frac{1}{2(4\pi)^2} (V'')^2 \quad \text{Ising}$$

## Universal terms

Extract the perturbative flow in various dimensions:

$$\begin{aligned}\beta_V &= -\frac{1}{4\pi} V''' && \text{in } d = 2 && \text{Sine - Gordon} \\ \beta_V &= \frac{1}{2(4\pi)^2} (V'')^2 && \text{in } d = 4 && \text{Ising} \\ \beta_V &= -\frac{1}{6(4\pi)^3} (V'')^3 && \text{in } d = 6 && \text{Lee - Yang}\end{aligned}$$

The generator

$$\beta_V = k^d e^{-\frac{V''}{4\pi k^2}}$$

The propertime flow equation was one of the first and most successful tools of functional renormalization, but its appearance in this context shows beyond any reasonable doubt that *it is the most fundamenta among all of functional renormalization approaches.*

**A less known critical model:  
the Blume-Capel universality class**

## Families of multicritical models

$\mathbb{Z}_2$  even unitary models  $\phi^{2n}$

$$d_{2n} = \frac{2n}{n-1} = \infty, 4, 3, \frac{8}{3}, \dots, 2$$

$\mathbb{Z}_2$  odd non-unitary models  $\phi^{2n+1}$

$$d_{2n+1} = 2 + \frac{4}{2n-1} = 6, \frac{10}{3}, \frac{14}{5}, \dots, 2$$

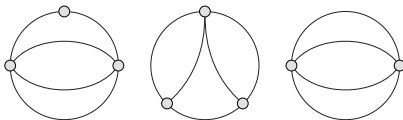
Gracey [1703.09685]

One of them caught our eyes.

## The quintic model

$d_5 = \frac{10}{3} > 3$ : non-trivial in  $d = 3$ !?

Zambelli et. al. [1612.087397]



Blume-Capel universality class

CSVZ [1706.06887]

$$\beta_v = -\frac{10}{3}v + \frac{2}{3}\varphi v' + \epsilon \left( v - \frac{1}{2}\varphi v' \right) + \frac{\eta}{2}\varphi v' \\ + \frac{1}{3}v^{(2)}(v^{(4)})^2 - \frac{3}{2}(v^{(3)})^2 v^{(4)}$$

This is a tricritical generalization of Lee-Yang universality class...

## RG & CFT data

Spectrum:

$$\theta_i = \frac{10}{3} - \frac{2i}{3} + \epsilon \left( -1 + \frac{i}{2} \right) - \tilde{\gamma}_i$$
$$\tilde{\gamma}_i = \frac{\epsilon}{153} \left( \frac{52}{5}i - \frac{139}{12}i^2 - \frac{1}{2}i^3 + \frac{19}{12}i^4 - \delta_{i,5} \right)$$

Setting  $\epsilon = 1/3$  we find

$$\eta = -4.357 \cdot 10^{-4} \quad \sigma = \theta_4/\theta_1 = 0.2030$$
$$\nu = (\theta_2)^{-1} = 0.4977 \quad \zeta = \theta_3/\theta_1 = 0.5596$$

Deviations from MF  $\sim 10^{-4}$  are accessible (maybe),  
but to trigger attention we needed a lattice model as bait...

## Why Blume-Capel universality?

Blume-Capel spin-1 chain:

$$\mathcal{H} = \sum_j \{ -S_j^z S_{j+1}^z + \alpha (S_j^z)^2 + \beta S_j^x + i h S_j^z \}$$

If promoted to a grid should have enough dof for the class.

In fact, non-Hermitian tricriticality at imaginary magnetic field in

$$d = 1 + 1$$

von Gehlen [hep-th/9402143]

# Emergent Supersymmetry



## Gross-Neveu-Yukawa model

Let  $\psi$  be a Majorana  $3d$  fermion:

$$S^Y[\phi, \psi, \bar{\psi}] = \int d^3x \left\{ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{i}{2} \bar{\psi} \not{\partial} \psi + U(\phi) + \frac{1}{2} H(\phi) \bar{\psi} \psi \right\}$$

Discrete chirality:

$$\phi \rightarrow -\phi, \quad \psi \rightarrow -\psi, \quad \bar{\psi} \rightarrow \bar{\psi}$$

- ▶ Conjectured to have emergent SUSY in the IR if chiral **Thomas**
- ▶ Tested at 2 – 3 loops **[1607.05316], [1703.08801]**
- ▶ Potential experimental realizations **Grover et al. [1301.7449]**
- ▶ Understanding is still unsatisfactory

## Reparametrization of the GNY models

$$V(\phi) = \frac{1}{2} W'(\phi)^2, \quad H(\phi) = W''(\phi) + Y(\phi)$$

$$S^Y[\phi, \psi, \bar{\psi}] = \int d^3x \left\{ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{i}{2} \bar{\psi} \not{\partial} \psi + \frac{1}{2} W'(\phi)^2 \right. \\ \left. + \frac{1}{2} W''(\phi) \bar{\psi} \psi + \frac{1}{2} Y(\phi) \bar{\psi} \psi \right\}$$

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$$e^{iS^Y[\phi, \psi, \bar{\psi}]} = \int DF e^{iS[\phi, \psi, \bar{\psi}, F]}$$

Include an auxiliary (quadratic) field  $F$  with e.o.m.  $F = W'(\phi)$

GHWZ [1705.08312]

## Thomas model

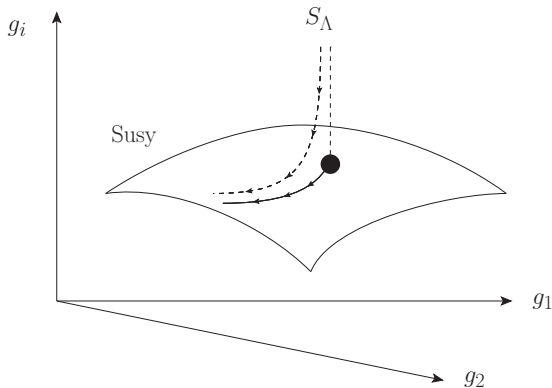
$$S[\phi, \psi, \bar{\psi}, F] = \int d^3x \left\{ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{i}{2} \bar{\psi} \not{\partial} \psi - \frac{1}{2} F^2 + F W'(\phi) + \frac{1}{2} W''(\phi) \bar{\psi} \psi + \frac{1}{2} Y(\phi) \bar{\psi} \psi \right\}$$

$\mathcal{N} = 1$  SUSY transformation:

$$\delta\phi = \bar{\theta}\psi, \quad \delta\psi = (F + i\not{\partial}\phi)\theta, \quad \delta F = i\bar{\theta}\not{\partial}\psi$$

SUSY is broken by  $Y(\phi)$

## SUSY as an emergent symmetry



- ▶ Does the critical point lie on the SUSY surface?
- ▶ Are the deformations from SUSY relevant or irrelevant?

## RG steps and broken off-shell SUSY

RG step on the SUSY surface:

$$S^{\mathcal{N}=1} \rightarrow S^{\mathcal{N}=1} - \frac{\delta k}{k} \int d^d x \left\{ \beta'_W F + \frac{1}{2} \beta''_W \bar{\psi} \psi \right\}$$

Broken SUSY RG step:

$$S \rightarrow S - \frac{\delta k}{k} \int d^d x \left\{ A(\phi) F + \frac{1}{2} B(\phi) \bar{\psi} \psi + C(\phi) \right\}$$

An  $F$  field redefinition can cancel  $C(\phi)$

$$S \rightarrow S - \frac{\delta k}{k} \int d^d x \left\{ A(\phi) F + \frac{1}{2} B(\phi) \bar{\psi} \psi + C(\phi) - \frac{\delta S}{\delta F} \beta_F \right\}$$

$$F \rightarrow F - \beta_F \frac{\delta k}{k}$$

Idea comes from bosonization of four-Fermi interactions.

## RG steps with the $F$ field redefinition

$$C(\phi) \propto \text{Diagram 1} \quad \text{Diagram 2} \propto (w'')^4 - h^4$$

$$S \rightarrow S - \frac{\delta k}{k} \int d^d x \left\{ \left[ A(\phi) - \frac{C(\phi)}{W'(\phi)} \right] F + \frac{1}{2} B(\phi) \bar{\psi} \psi \right\}$$

Redefinition ensures that  $\langle F \rangle = \langle W'(\varphi_0) \rangle = 0$ , but not 1PI

Pawlowski

Does it make sense? Looking for a *pragmatic* answer.

## RG flow

$$\beta_v = -4v + \phi v' + \epsilon \left( v - \frac{1}{2} \phi v' \right) + \frac{\eta_\phi}{2} \phi v' \\ + \frac{1}{2(4\pi)^2} (v'')^2 - \frac{1}{2(4\pi)^2} h^4$$

$$\beta_h = -h + \phi h' - \epsilon \frac{1}{2} \phi h' + \eta_\psi h + \frac{\eta_\phi}{2} \phi h' + \frac{2}{(4\pi)^2} h (h')^2$$

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$$\beta_w = -3w + \phi w' + \epsilon \left( w - \frac{1}{2} \phi w' \right) + \frac{\eta_\phi}{2} \phi w' + \frac{1}{3(4\pi)^2} (w'')^3 \\ + \int_0^\phi dx \frac{w''(x)^4 - h(x)^4}{2(4\pi)^2 w'(x)}$$

$$\beta_h = -h + \phi h' - \frac{\epsilon}{2} \phi h' + \eta_\psi h + \frac{\eta_\phi}{2} \phi h' + \frac{2}{(4\pi)^2} h (h')^2$$

## Equivalence and properties

Up to irrelevant operators:

$$w' \beta_{w'} \simeq \beta_\nu$$

One has no chance to prove this directly in  $d = 3$ .

Take it as a guiding principle instead!

SUSY implies more predictive power:  $\nu$  and  $\eta$  are related:

$$\frac{1}{\nu} = \frac{d - \eta}{2}$$



## Numerical estimates using FRG

Confidence gained from perturbative setup can be applied to nonperturbative FRG in  $d = 3$ .

	FRG $n=1$	FRG $n=2$	$\epsilon^2$	$\epsilon^3$	FRG Yukawa	CB
$\eta$	0.174	0.167	0.184	0.162	0.185*	0.164
$\nu^{-1}$	1.385	1.395	1.408	1.419	1.29	1.418*
$\omega$	0.765	0.782	0.700*	0.885*	0.796	–
$\tilde{\omega}$	0.809	0.831	0.909*	1.407*	1.09	–

## Conclusions

### Functional perturbative RG

- ▶ allows to setup the computation of CFT data (with some limitations),
- ▶ is a tool to explore new universality classes,
- ▶ and gives a framework to explore some new technique